

Please use this form to lodge allegations that an AusIMM member has breached their obligations under the AusIMM By-Laws, Code of Ethics or other professional codes.

Complainant Details

Name _____

Email _____ Phone _____

Are you an AusIMM Member? No Yes Member # _____

Name of AusIMM members(s) whom the complaint is against

Name _____

What is the nature of the complaint?

This complaint alleges a breach of:

AusIMM By-Laws Specific By-Law clause(s) _____

AusIMM Code of Ethics Specific Code of Ethics clause(s) _____

JORC Code Specific JORC Code clause(s) _____

VALMIN Code Specific VALMIN Code clause(s) _____

Complainant Details

Please provide detailed information regarding specific allegations, provisions of the AusIMM By-Laws, Code of Ethics, Professional Codes (including JORC and VALMIN). Supporting evidence or documents can be provided as attachments to the complaint. If the matter relates to a Public Report or announcement, please provide a PDF copy as an attachment ensuring that any documents supplied are not password protected.

Signature

Date ____/____/____

Notes on complaint handling

This Notice of Complaint is to be completed in full and submitted with any supporting documentation by email via compliance@ausimm.com. This complaint will be processed in the manner prescribed by the AusIMM Professional Conduct Regulations. Complaints must relate to AusIMM members' activities with respect to their activities as minerals professionals or members of the minerals industry. Any individual and/or corporate member(s) can be the subject of a complaint. In cases of serious breaches of ethical or legal obligations beyond minerals industry activities, the member's right to remain a member of the Institute may be considered.

All deliberations of the Professional Conduct and Ethics Committees are strictly confidential.

In promoting the highest professional ethics of its members, the AusIMM will describe the issues raised by complaints received in general terms in future communications to AusIMM members and other stakeholders on the operations of AusIMM's complaints systems. Annual reports detailing the activity of the Professional Conduct and Ethics Committees are published in the AusIMM Bulletin. The annual report contains information on the number and broad subject matter of complaints and actions taken.